

## Investor Charter for Stock Brokers

### Annexure-B

**Data for 30<sup>th</sup> April 2025**

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time/ \ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	120 days
4	Other Sources(if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

### **Trend of monthly disposal of complaints**

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending **
1.	April-2025	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

<b>Sr.No.</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2021-22	0	1	0	1
2	2022-23	1	3	3	1
3	2023-24	1	5	6	-
4	2024-25	0	0	0	0
5	2025-26	0	0	0	0
	<b>Grand Total</b>	2	9	9	3